

**IN THE UNITED STATES**  
**PATENT AND TRADEMARK OFFICE**

APPLICANTS: Jonathan J. Hull, et al.

PATENT NO.: 7,528,977 B2

ISSUE DATE: May 5, 2009

TITLE: Printer with Hardware and Software Interfaces for Peripheral Devices

EXAMINER: James A. Thompson

GROUP ART UNIT: 2625

ATTY. DKT. NO.: 20412-08382

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**CERTIFICATE OF EFS-WEB TRANSMISSION**

Pursuant to 240 OG 45 and the *Legal Framework For EFS-Web*, I hereby certify that this follow-on correspondence is being officially submitted through the USPTO EFS-Web system from the Pacific Time Zone of the United States on the local date shown below.

Signature:	/Greg T. Sueoka		
Typed or Printed Name:	Greg T. Sueoka, Reg. 33,800	Dated:	November 2, 2009

COMMISSIONER FOR PATENTS  
WASHINGTON, DC. 20231

ATTENTION: DECISION AND CERTIFICATE OF CORRECTION  
BRANCH OF THE PATENT ISSUE DIVISION

**REQUEST FOR RECONSIDERATION OF DECISION ON  
CERTIFICATE OF CORRECTION**

Sir:

This Request for Reconsideration is responsive to the decision dated October 21, 2009 denying a requested Certificate of Correction.

1. On October 8, 2009 a Request for Certificate of Correction was submitted, asking that U.S. Patent No. 6,155,718 be added to the “References Cited” section of the printed patent, under “U.S. Patent Documents.”

2. On October 21, 2009 a denial of this Request for Certificate of Correction was issued because there "is no record of a 1449 or 892 with references considered by the Examiner." A copy is attached hereto as "Exhibit A".

2. U.S. Patent No. 6,155,718 was cited as prior art in the Form 1449 submitted with the IDS filed April 17, 2006. A true copy of this IDS as submitted is attached hereto as "Exhibit B".

3. The same Form 1449 was initialed by Examiner James A. Thompson on June 12, 2007. Attached hereto is a "Exhibit C" is a true copy of this form.

Therefore, applicants request reconsideration of the decision on request for Certificate of Correction in view of the facts, circumstances, and contentions set forth above, and submit herewith as "Exhibit D" a Certificate of Correction addressing the missing reference.

It is submitted that no fee is due to correct Patent Office error.

Favorable reconsideration and issuance of the requested Certificate of Correction are solicited.

Respectfully submitted,  
JONATHAN J. HULL, ET AL.

Dated: November 2, 2009

/Greg T. Sueoka/

Greg T. Sueoka, Reg. No. 33,800  
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Fenwick & West LLP  
Silicon Valley Center  
801 California Street  
Mountain View, CA 94041  
Tel.: (650) 335-7194  
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## **EXHIBIT A**



UNITED STATES DEPARTMENT OF COMMERCE  
COMMISSIONER FOR PATENTS  
P. O. BOX 1450  
ALEXANDRIA, VA 22313-1450

Date : 10/21/2009  
Patent No. : 7,528,977 B2  
Serial No. : 10/814,846  
Inventor(s) : Hull et al.  
Issue Date : May 5, 2009  
Title : **PRINTER WITH HARDWARE AND SOFTWARE INTERFACES  
FOR PERIPHERAL DEVICES**  
Doc./File No. : 20412-08382

Re: Certificate of Correction

Consideration has been given your request for a certificate of correction, for the above-identified patent under the provisions of Rule 1.322.

Respecting the alleged error(s) in your request Respecting the alleged error(s) in the References Cited, the patent is printed in accordance with the record, since there is no record of a 1449 or 892 with reference(s) considered by the Examiner.

In view of the foregoing, your request in this matter is denied.

Further consideration will be given concerning this matter upon receipt of a request for **Reconsideration** (reconsideration should be accompanied by supporting document(s) such as, amendment, postcard receipt, 1449/892, etc.) and should be filed and directed to Decisions & Certificates of Correction Branch.

**Ernest C. White, LIE (703) 756-1590**  
**[ernest.white@uspto.gov](mailto:ernest.white@uspto.gov)**  
Mary F. Diggs, Supervisor (703) 756-1580  
Decisions & Certificates of Correction Branch

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SILICON VALLEY CENTER  
801 CALIFORNIA STREET  
MOUNTAIN VIEW CA 94041

ecw

## **EXHIBIT B**



JS FW  
+

## TRANSMITTAL FORM

(to be used for all correspondence during pendency of filed application)

		Application Number	10/814,846
		Filing Date	March 30, 2004
		First Named Inventor	Jonathan J. Hull
		Group Art Unit Number	2182
		Examiner Name	Not Yet Known
Total Number of Pages in This Submission	5*	Attorney Docket Number	20412-08382

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ]
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement & PTO/SB/08A <input checked="" type="checkbox"/> Copy of IDS Cited Non-Patent Reference	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<input type="checkbox"/> Request for Corrected Filing Receipt	
<input type="checkbox"/> Request for Correction of Recorded Assignment	
<input type="checkbox"/> Amendment/Response: [ ] Page(s) <input type="checkbox"/> After Final	
<input type="checkbox"/> Status Request	
<input type="checkbox"/> Revocation and Substitute Power of Attorney	

REMARKS: \*Page count does not include cited references.

### SIGNATURE OF ATTORNEY OR AGENT

Signature:	
Attorney/Reg. No.:	Greg T. Sueoka, Reg. No. 33,800
Dated:	4/10/06

### CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:	
Typed or Printed Name:	Greg T. Sueoka
Dated:	4/10/06
Express Mail Mailing Number (optional):	



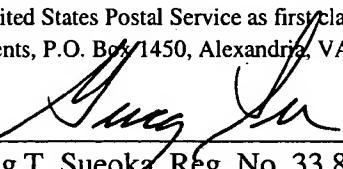
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jonathan J. Hull, Peter E. Hart and Jamey Graham  
APPLICATION NO.: 10/814,846  
FILING DATE: March 30, 2004  
TITLE: Printer with Hardware and Software Interfaces for Peripheral Devices  
EXAMINER: Not Yet Known  
GROUP ART UNIT: 2182  
ATTY. DKT. NO.: 20412-08382

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: 4/10/06

By:   
Greg T. Sueoka, Reg. No. 33,800

MAIL STOP AMENDMENT  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

- after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
  - Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
  - Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
    - each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
    - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this

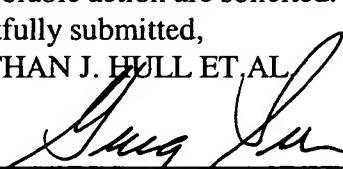
certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(d); OR
- after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. \_\_\_\_\_, filed on \_\_\_\_\_, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
JONATHAN J. HULL ET AL.

Dated: 4/10/06

By:   
Greg T. Sueoka, Reg. No. 33,800  
Fenwick & West LLP  
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Mountain View, CA 94041  
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Fax.: (650) 938-5200



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

1

of

1

<i>Complete if Known</i>	
Application No.	10/814,846
Filing Date	March 30, 2004
First Named Inventor	Jonathan J. Hull
Art Unit	2182
Examiner Name	Not Yet Known
Attorney Docket Number	20412-08382

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document No.	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-6,983,482	01-03-2006	Morita et al.
	A2	US-2003/0002068 A1	01-02-2003	Constantin et al.
	A3	US-2002/0169849 A1	11-14-2002	Schroath
	A4	US-6,115,718	09-05-2000	Huberman et al.
	A5	US-5,436,792	07-25-1995	Leman et al.

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>

### OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
	C1	GROPP, W. et al., "Using MPI-Portable Programming with the Message Passing Interface," copyright 1999, pp. 35-42, second edition, MIT Press.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

## **EXHIBIT C**



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

1

of

1

### Complete if Known

Application No.	10/814,846
Filing Date	March 30, 2004
First Named Inventor	Jonathan J. Hull
Art Unit	2182 2625
Examiner Name	Not Yet Known James A. Thompson

Attorney Docket Number

20412-08382

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document No.	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
/JT/	A1	US-6,983,482	01-03-2006	Morita et al.
	A2	US-2003/0002068 A1	01-02-2003	Constantin et al.
↓	A3	US-2002/0169849 A1	11-14-2002	Schroath
	A4	US-6,115,718	09-05-2000	Huberman et al.
/JT/	A5	US-5,438,792	07-25-1995	Leman et al.

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>4</sup>

### OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>4</sup>
/JT/	C1	GROPP, W. et al., "Using MPI-Portable Programming with the Message Passing Interface," copyright 1999, pp. 35-42, second edition, MIT Press.	

Examiner Signature	/James Thompson/	Date Considered	6/12/07
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

20412-08382/DOCS/1613128.1

## **EXHIBIT D**

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 7,528,977 B2

DATED : May 5, 2009

INVENTOR(S) : Jonathan J. Hull et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the "References Cited" section, under "U.S. Patent Documents," please add

--U.S. Patent No. 6,155,718--.

MAILING ADDRESS OF SENDER:

Greg T. Sueoka  
Fenwick & West LLP  
Silicon Valley Center  
801 California Street  
Mountain View, CA 94041

PATENT NO.

7,528,977 B2

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